



# Stellar Atmospheric Parameters ... for late-type stars



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# Overview

- Relevant parameters
- Methods
- Automatic techniques
- Solutions for massive surveys

# Relevant atmospheric parameters

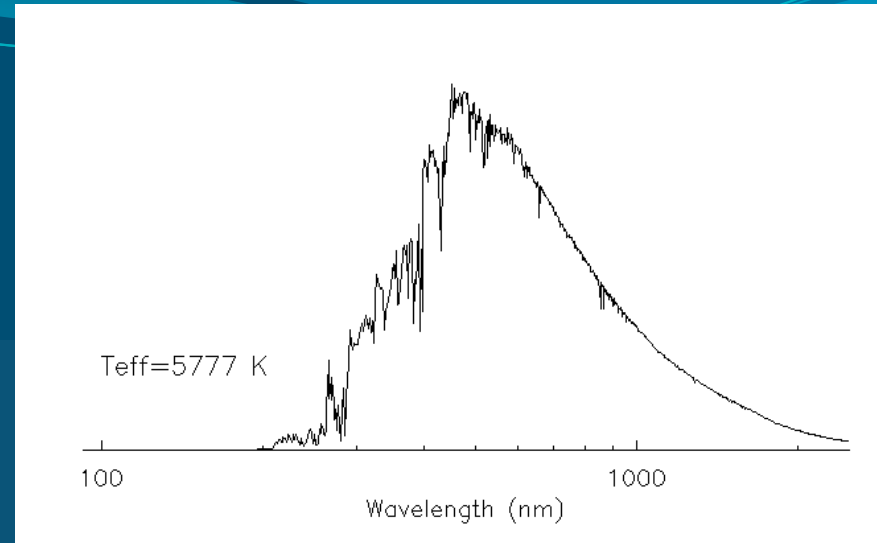
- These are those needed for interpreting spectra
- Usually:  $T_{\text{eff}}$ ,  $\log g$ ,  $[\text{Fe}/\text{H}]$
- Sometimes:  $R$ ,  $\left[ \frac{W}{\Omega} \right]$ ,  $\left[ \frac{W}{\Omega} \right]$ ,  $E(\text{B}-\text{V})$ ,  $\nu \sin i$
- Largely ignored, but sometimes needed:  
 $[\text{C}/\text{Fe}]$ ,  $\left[ \frac{W}{\Omega} \right]/\text{Fe}$ ,  $\mathbf{B}$ ,...

# Teff

- $F = \left(\frac{R}{d}\right)^2 T_{\text{eff}}^4$
- $F R^2 = f d^2$
- Can be directly determined from bolometric flux measurements  $f$  and angular diameters ( $2R/d$ )  
**hard but spectacular progress recently**
- Photometry: model colors, IRFM
- Spectroscopic: line excitation, Balmer lines
- Spectrophotometric: model fluxes



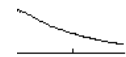
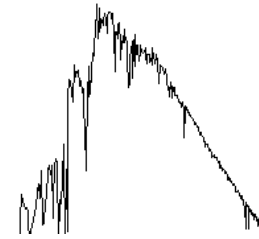
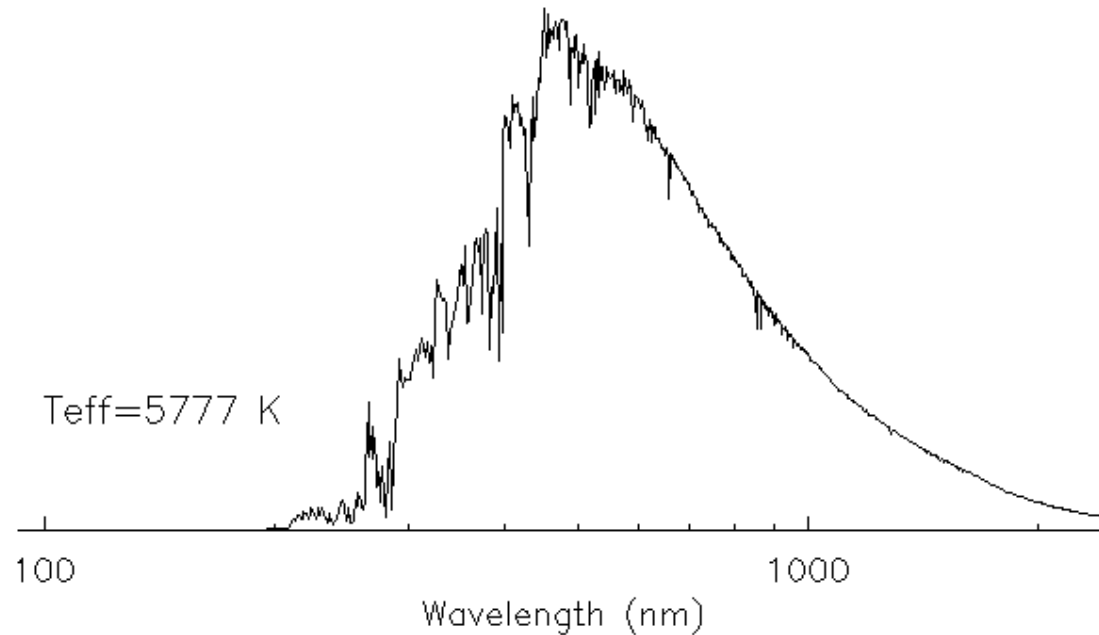
# Teff • IRFM



- Multiple implementations  
Oxford (Blackwell+) 80s, Alonso+ 90s, Ramírez& Meléndez / González-Hernández+ / Casagrande+ 00s
- Fairly model independent
- Scales in fair agreement on the metal-rich end but conflicts for halo turn-off stars
- Issues known for cool (K and beyond) spectral types  
(see Allende Prieto+ 04,  $S^4N$ )
- Now in good shape based on solar-analog calibrations

# Teff • IRFM

- Multiple measurements from Oxford, Gortel, etc.
- Fairly consistent
- Scaled to half solar constant
- Issues with calibration (see below)
- Now in good shape based on solar-analog calibrations

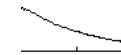
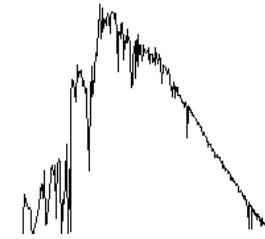
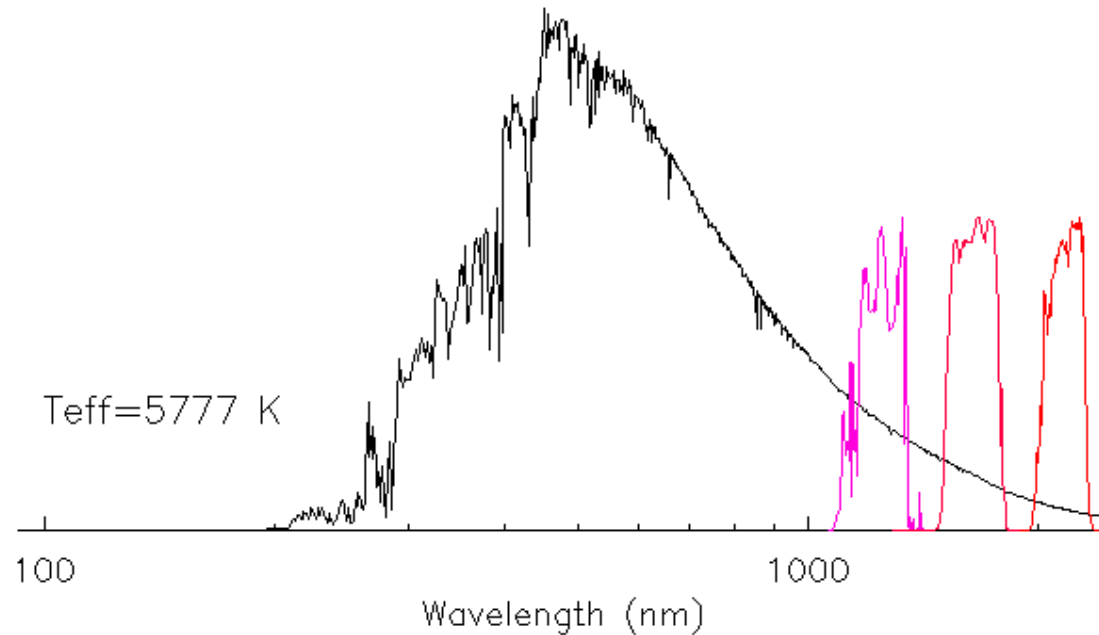


ez /

ts for

# Teff • IRFM

- Multiple measurements from Oxford, Gortel, etc.
- Fairly consistent
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- Issues with some data (see below)
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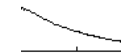
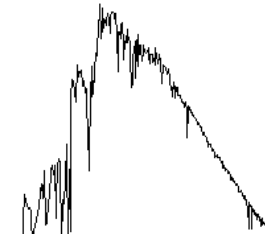
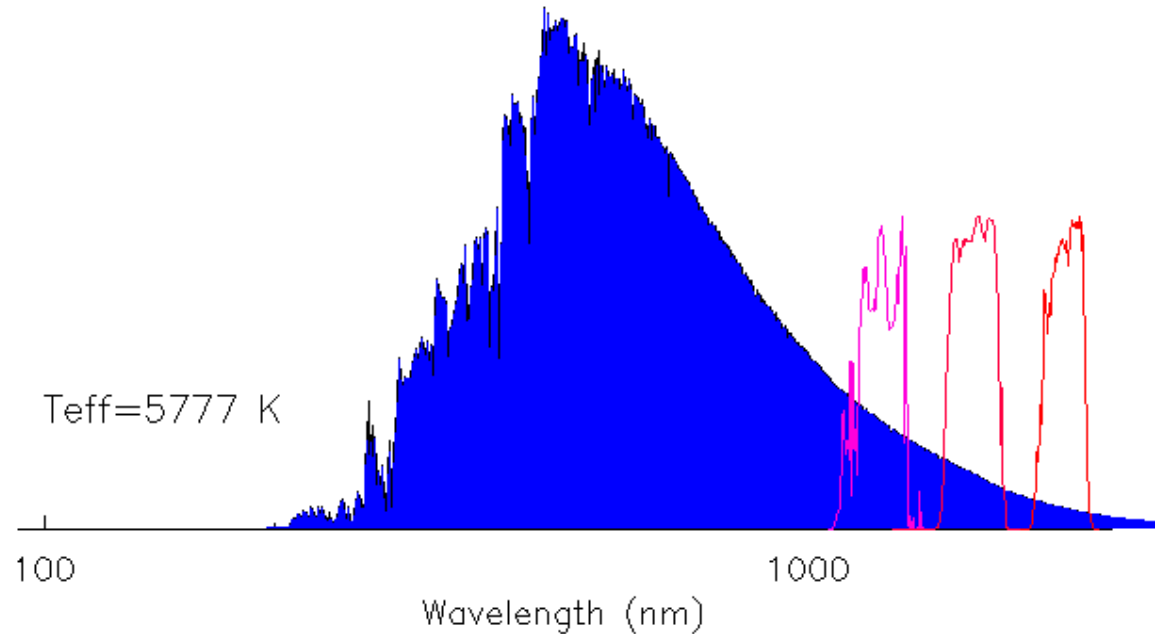


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# Teff • IRFM

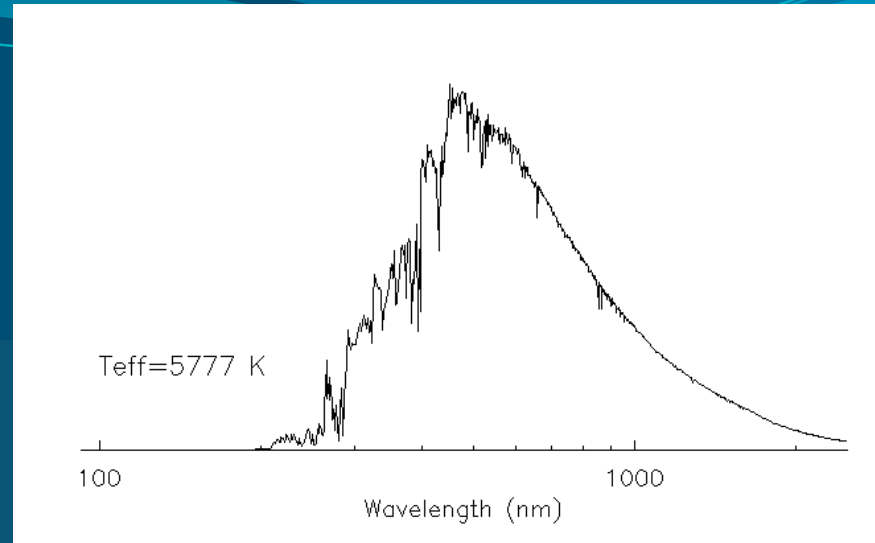
- Multiple measurements from Oxford, Gortel, etc.
- Fairly consistent
- Scaling half the uncertainty
- Issues (see below)
- Now in good shape based on solar-analog calibrations



ez /

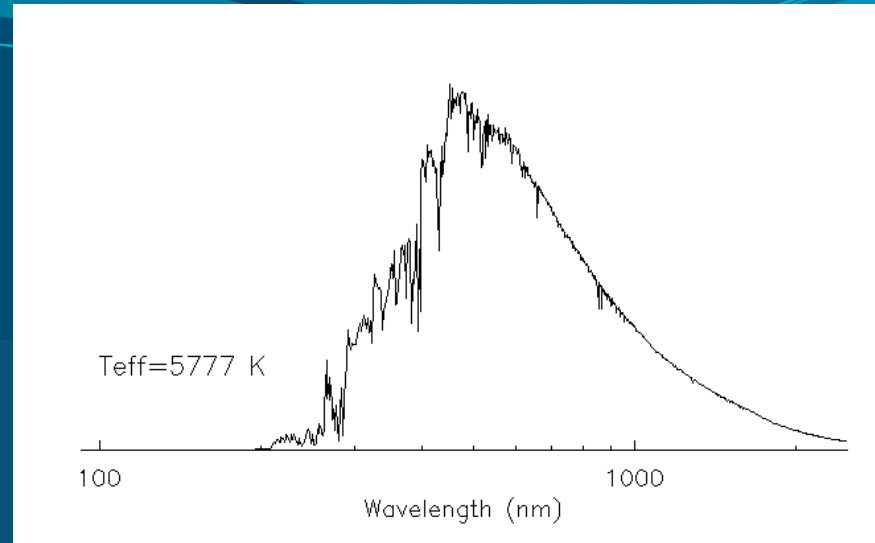
ts for

# Teff • IRFM



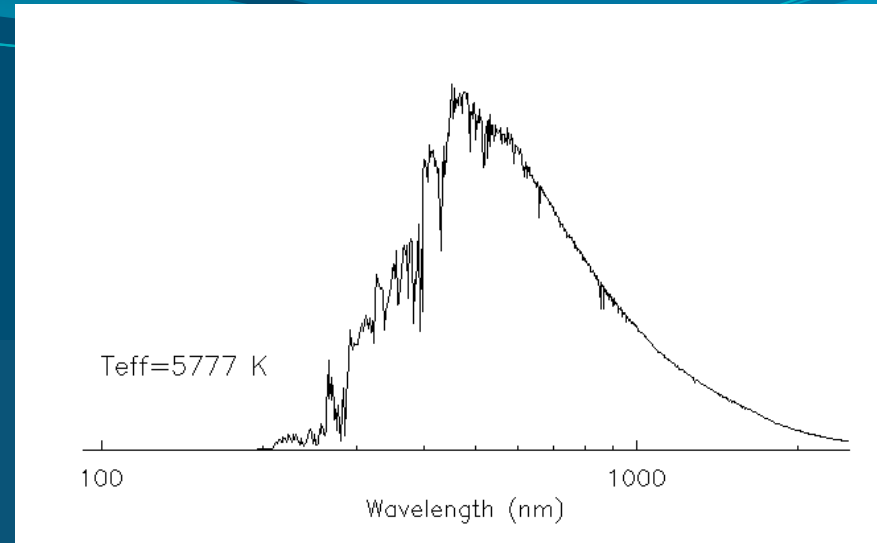
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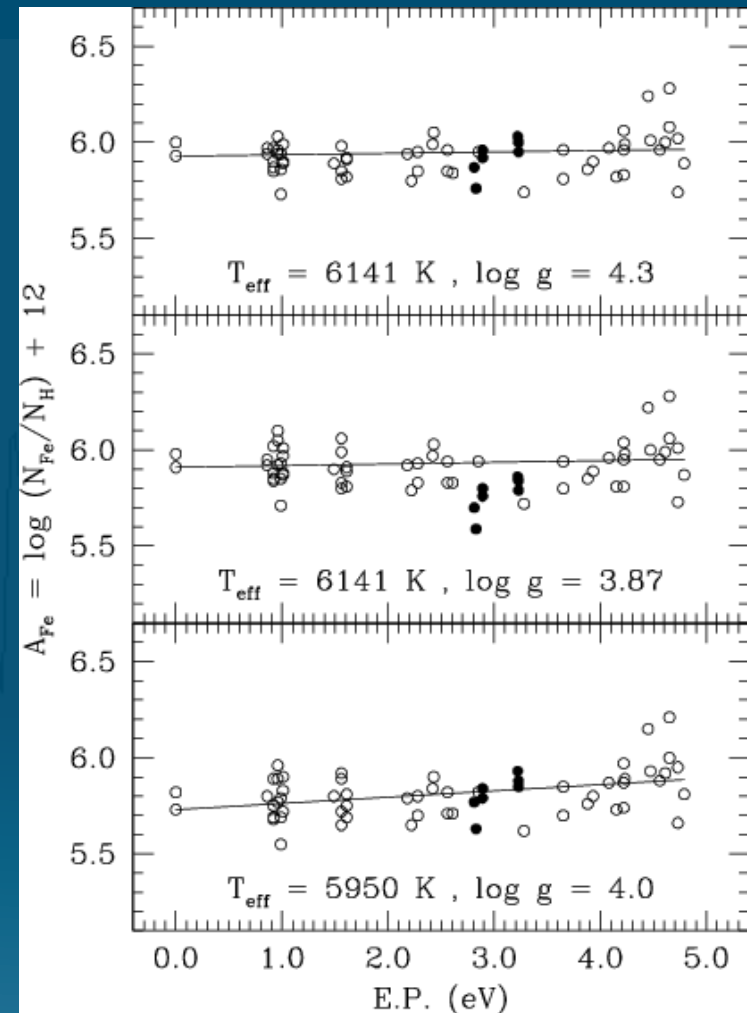
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# Teff • weak-line excitation

- Classical method lines of different formation depth (excitation energy) are very sensitive
- Model dependent:  $\langle T(\frac{W}{\lambda}) \rangle$ , turbulence, NLTE
- Observationally friendly



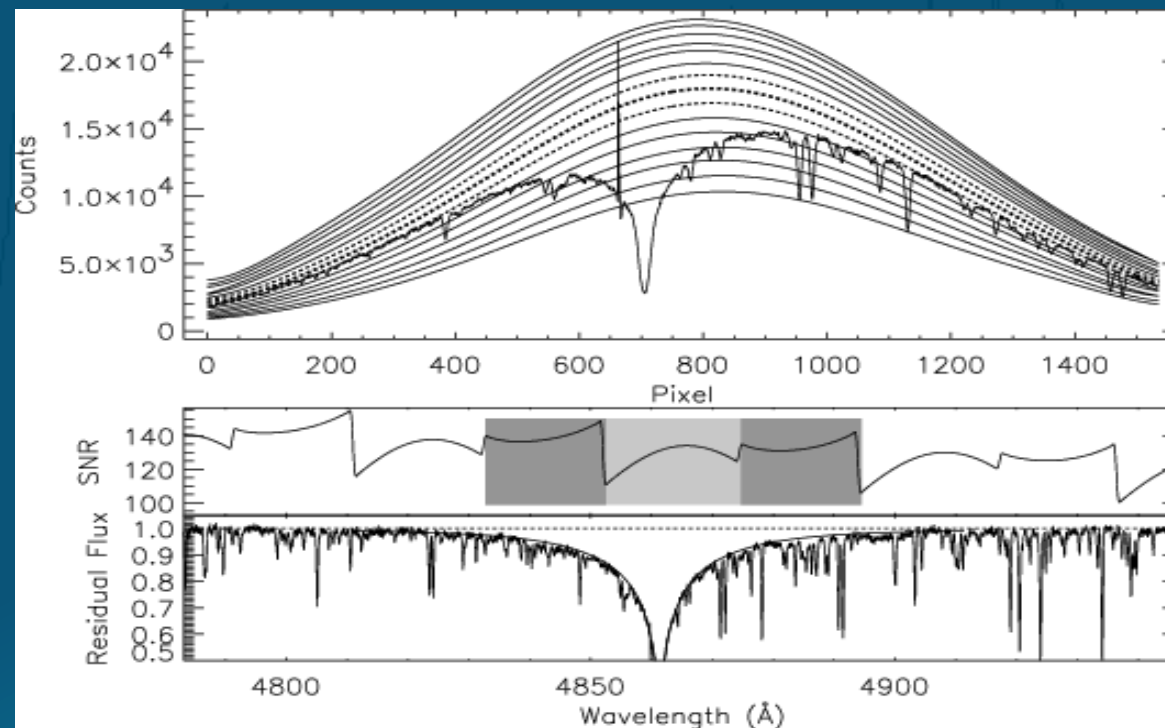


# Teff • Balmer lines

- Perfected by Fuhrmann+ in the 90s

# Teff • Balmer lines

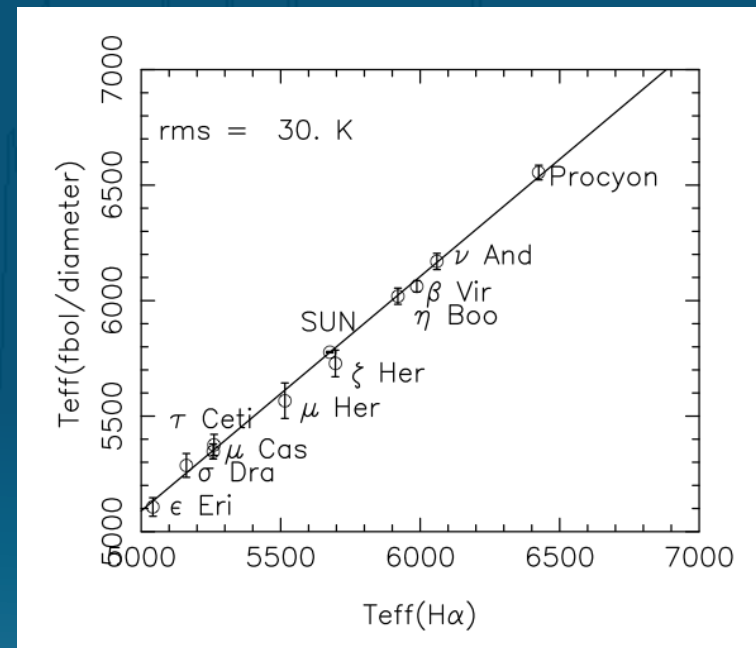
- Perfected by Fuhrmann+ in the 90s
- Applied to echelle spectra by Barklem+



# Teff • Balmer lines

- Perfected by Fuhrmann+ in the 90s
- Applied to echelle spectra by Barklem
- Improved theoretical broadening calculations -- see poster and upcoming paper by Cayrel+

Main remaining issue is the effect of convection on the thermal atmospheric structure -- need 3D or an external calibration



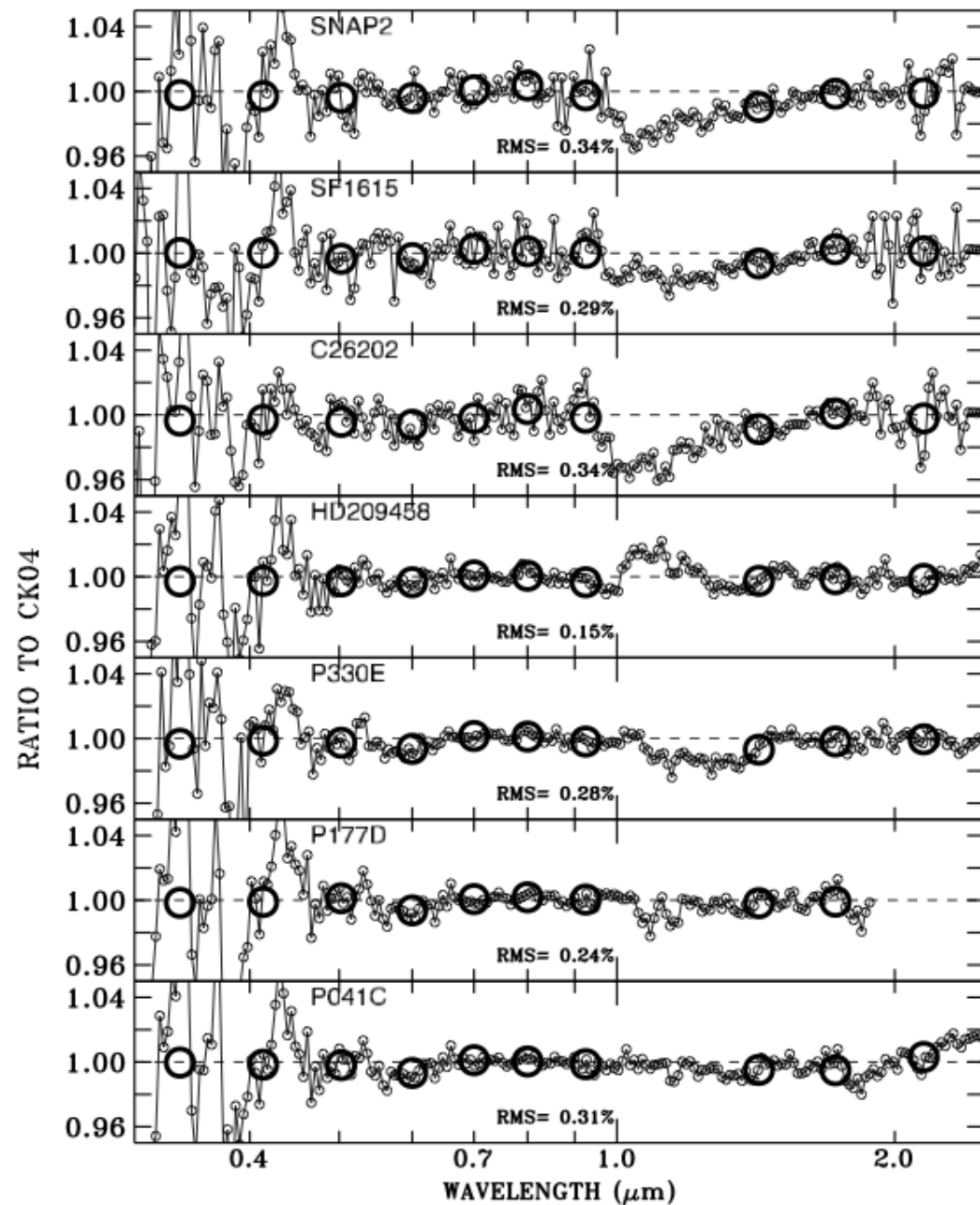
But NLTE effects may be involved (Barklem 2007)

# Teff • spectrophotometry

- Combines photometry and spectroscopy
- Hard to get very high-quality spectra (<2-3%). Need space observations to access the UV
- Great progress in the last decade (Bohlin+ Cohen+)
- HST flux calibration based on Oke V scale plus hot DA WD models. Consistency all around with Vega and solar analogs
- ACCESS (Kaiser+ 2011)

# Teff • spect

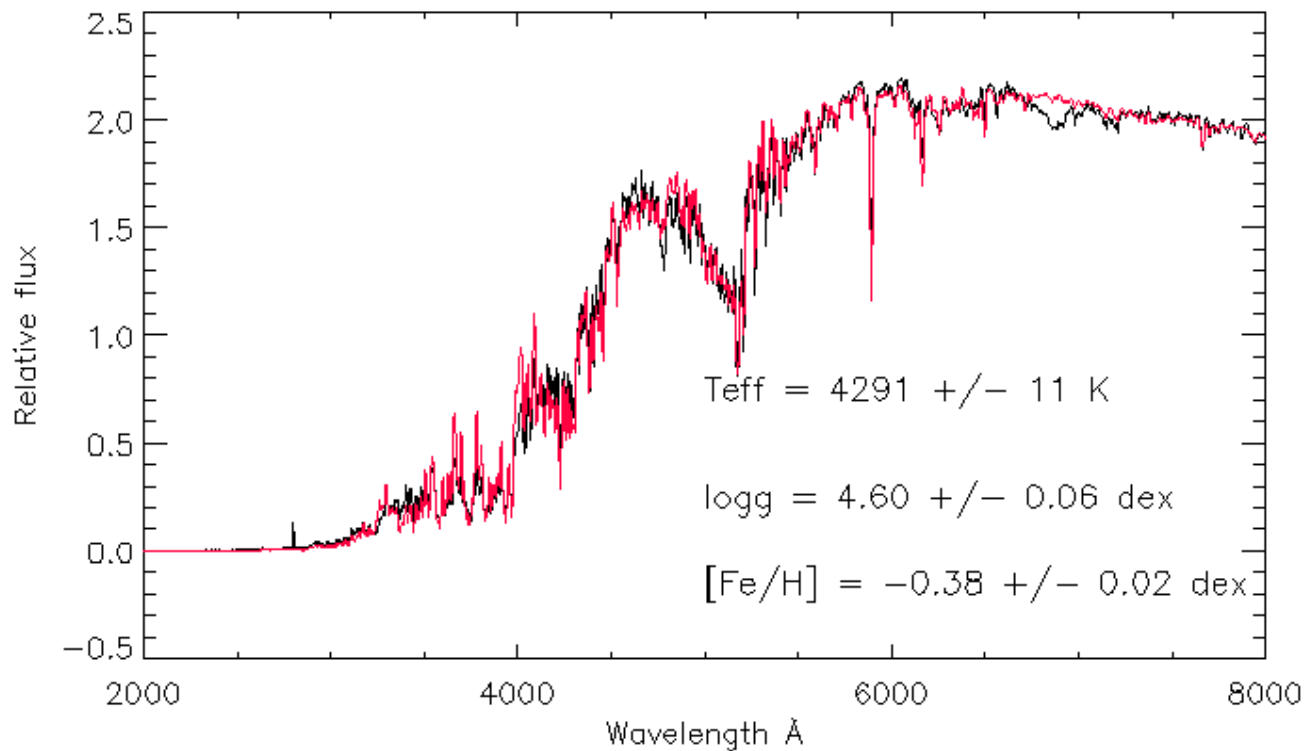
- Combines photo
- Hard to get very space observation
- Great progress in
- HST flux calibration DA WD models. and solar analogs



Solar analogs observed  
With STIS compared with solar-like Kurucz models

# Teff

- Cor
- Ha
- spa
- Gre
- HS
- DA
- and

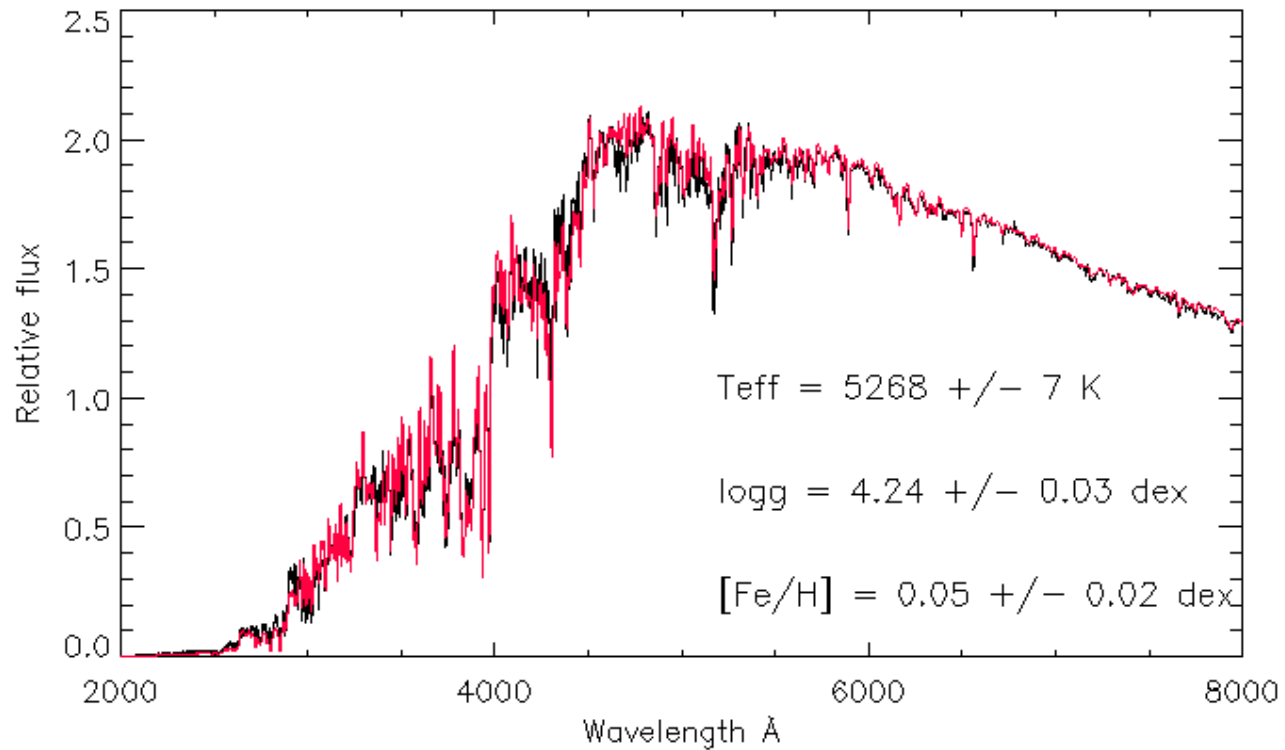


eed  
n+)  
not  
ega

HD 201091 (Observations from STIS NGSL)

# Teff

- Cor
- Ha
- spa
- Gre
- HS
- DA
- and



eed

n+)

not

ega

HD 10780 (observations from STIS NGSL)

# logg

- Gravitational field compresses the gas giving a nearly exponential density structure (pressure)
- Hard to get with accuracy: the spectrum is only weakly sensitive to gravity
- Photometry: ionization edges (Saha), molecular bands, or damping wings of strong metal lines
- Spectroscopy: ionization balance (e.g. Fe/Fe<sup>+</sup>) or collisionally-dominated line wings
- Stellar structure models (luminosity)



# Logg • Photometry

- Intermediate or narrow band filters (Strömgren, Mg 520 nm) taking advantage of pressure-sensitive features

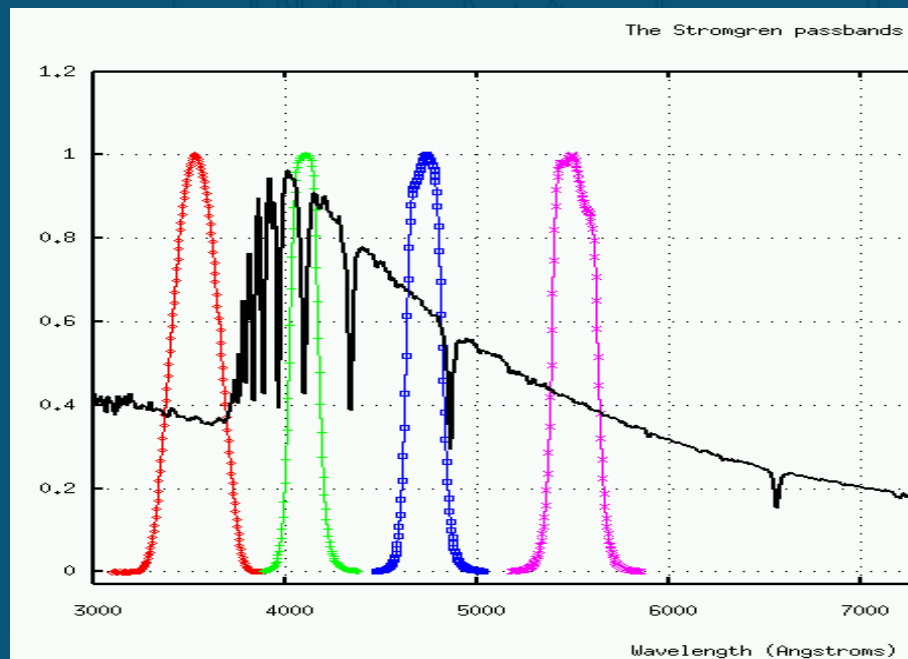
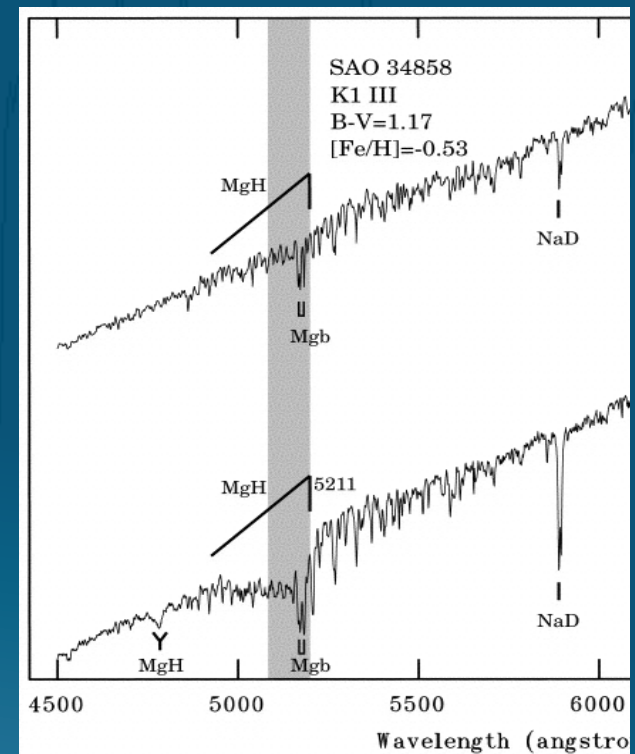


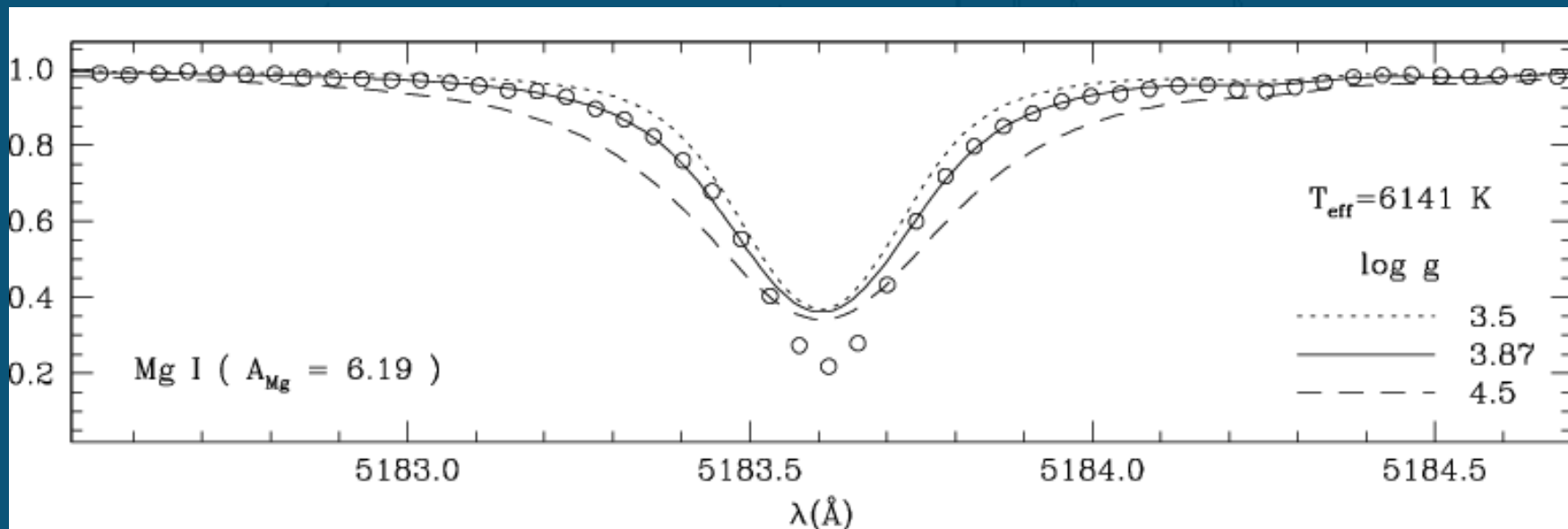
Image: Michael Richmond

Majewski + 2000



# Logg • Spectroscopy

- Ionization balance: model dependent
- Strong lines (Na D, Mg b, Ca II IR triplet...)

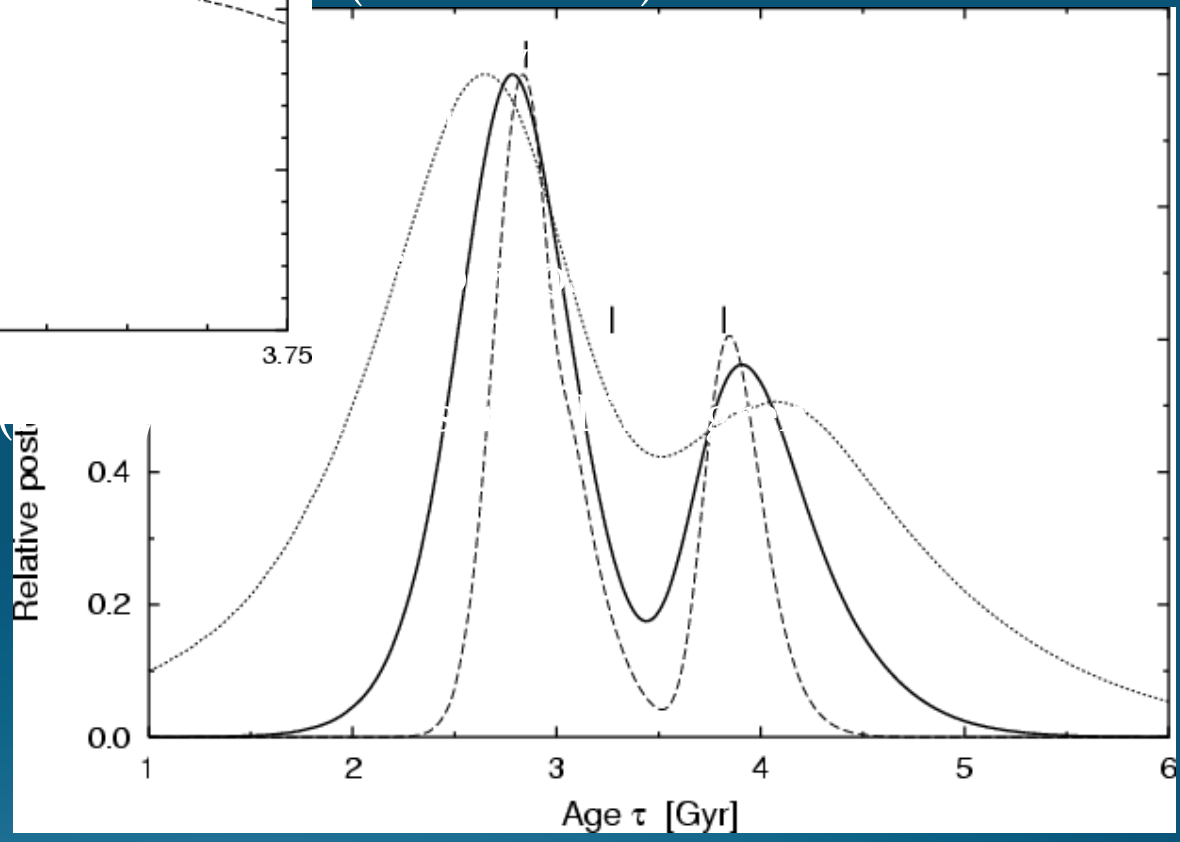
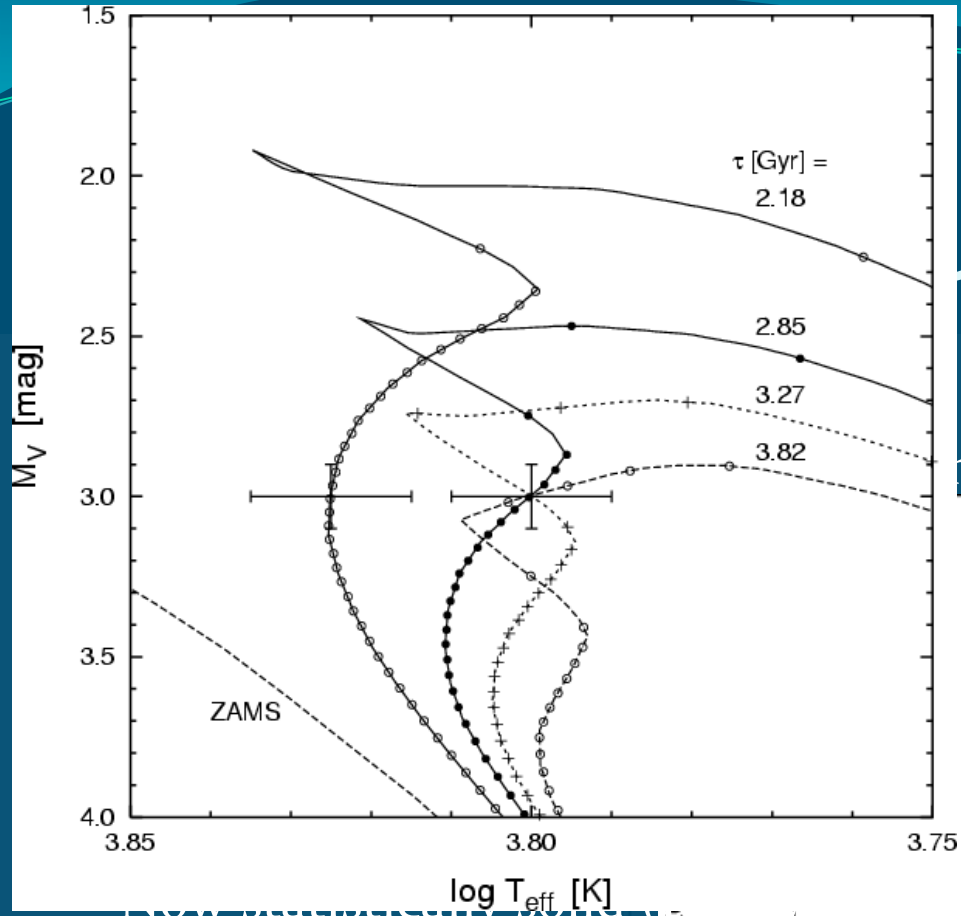


# Logg • Stellar structure

- Need good luminosity determination (i.e. distance)
- Relies on interior models, fairly reliable but with caveats (solar conundrum, convection recipes, diffusion)
- Need  $M$  and  $R$ , not age
- Now statistically solid (Reddy+ 03, Jørgensen & Lindegren 05, Pont & Eyser ...)

# Structure

n (i.e. distance)



Now statistically sound (Eyeer ...)

# Logg • Stellar structure

- Need good luminosity determination (i.e. distance)
- Relies on interior models, fairly reliable but with caveats (solar conundrum, convection recipes, diffusion)
- Need  $M$  and  $R$ , not age
- Dominated by errors in parallaxes for Hipparcos ( $V < 9$ ,  $d < 100$  pc) stars, but likely not the case for Gaia
- Now statistically solid (Reddy+ 03, Jørgensen & Lindegren 05, Pont & Eyer ...)

# [Fe/H]

- A big oversimplification
- High sensitivity of the spectrum (can also be derived from photometry including blue/UV), but highly model dependent
- Many weak lines, good atomic data, good spectra, and a good model
- See next talk by E. Caffau

More...R, , ,  $E(B-V)$ ,  $v \sin i$

- R needed for spherical models
- Micro- macro-turbulence needed for hydrostatic models
- $E(B-V)$  needed in photometry/spectrophotometry data are involved
- Rotation cannot be ignored, but hard to disentangle from other broadening mechanisms in late-type stars

# And more... [C/Fe], [ $\frac{W}{M}$ ]/Fe], B

- C/O can substantially alter atmospheric structure through, e.g. CO formation
- $\frac{W}{M}$  include abundant elements (O) and important electron donors (Mg, Ca, Si)
- Magnetic fields and chemical inhomogeneities may also be important (e.g. AM stars)



# General thoughts

- Photometric and spectrophotometric methods seem robust for  $T_{\text{eff}}$ , but beware of reddening!
- If a good parallax is available, stellar evolution models seem safe for  $\log g$
- At high metallicity, iron lines give a good handle, but beware of 3D/NLTE for metal-poor stars
- Need consistency!

# Automation

- Classical analysis methods can be coded in the computer
- These will have limitations: need to reliably measure equivalent widths (EW)
- Ultimately, the use of EW is related to simplify the calculations (scalar quantities instead of arrays) but is also somewhat blind, I.e. full spectral analysis preferred

# Automation II

- Optimization methods: local (gradient, Nelder-Mead...), global (metropolis, genetic algorithms...)
- Projection methods (ANN, MATISSE, PCA, SVM...)
- Bayesian methods
- But many combinations possible
- Spectral model can be calculated on the fly or interpolated
- Issues are sometimes continuum normalization, complicated PSF, large number of dimensions, degeneracies

# Massive surveys



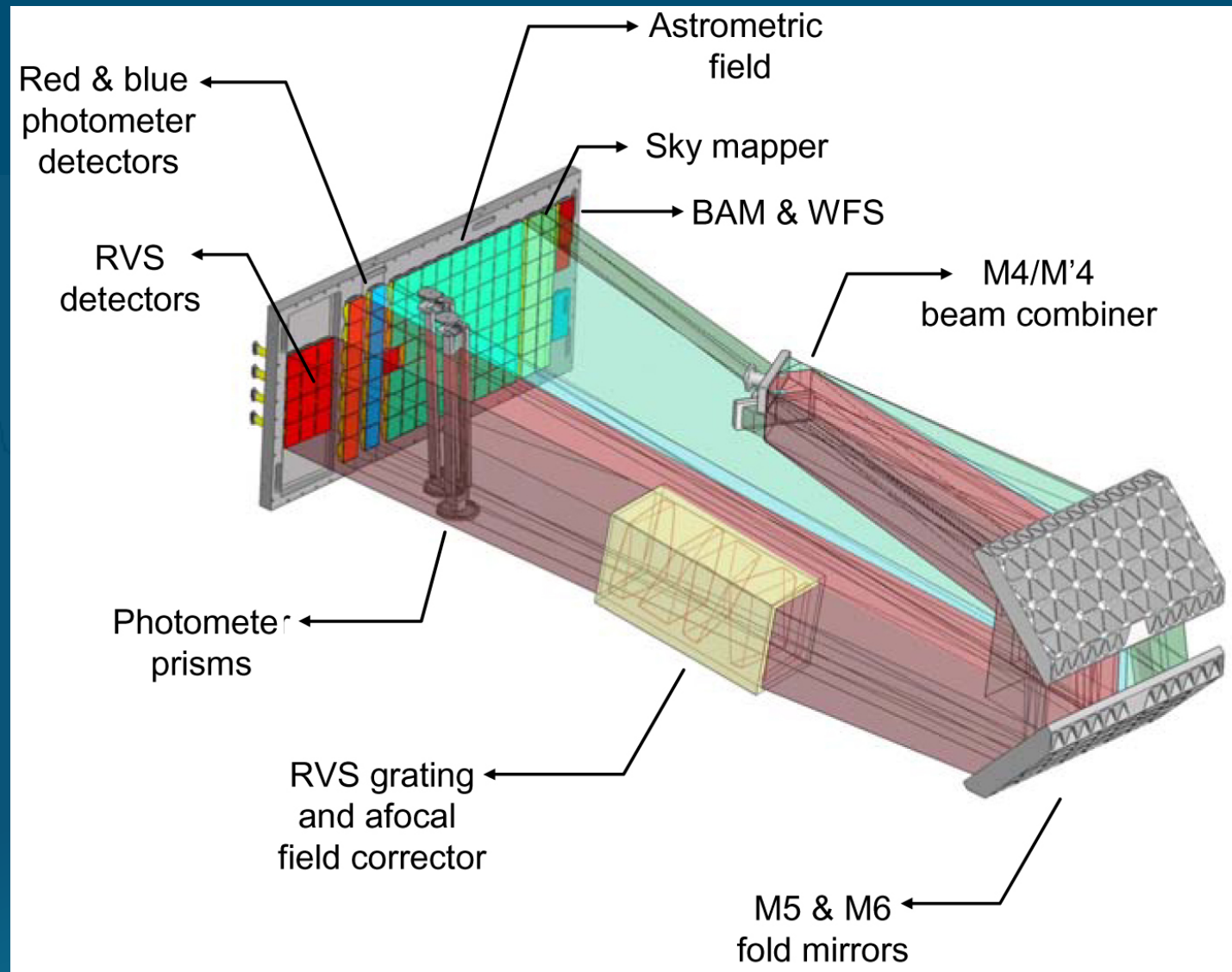
- RAVE  
(R~7500, 841-880 nm)
- SDSS-SEGUE  
(R~1800, 380-910 nm)
- SDSS-III APOGEE  
(R~30000, 1500-1700 nm)
- Gaia RVS, BP/RP  
(R~11500, 847-874 nm)  
(R<50, 300-1000 nm)



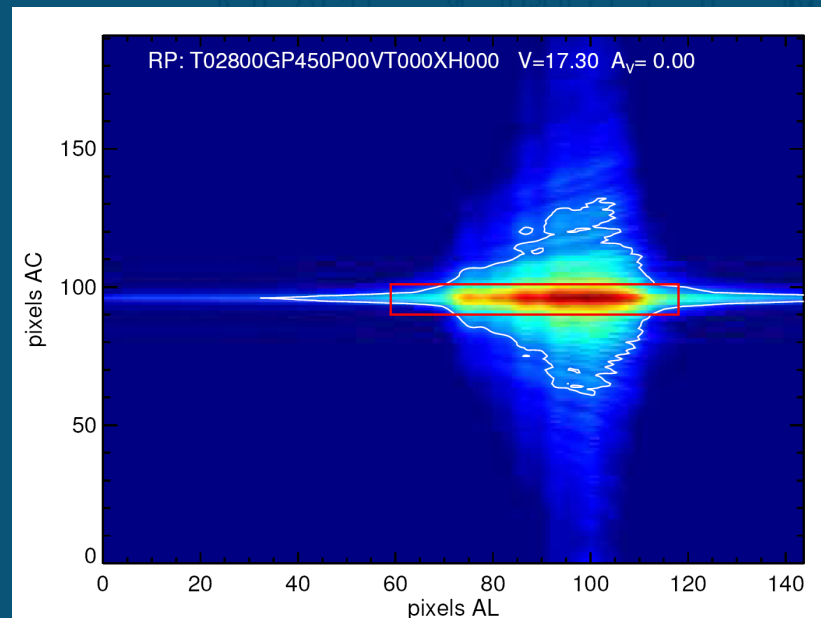
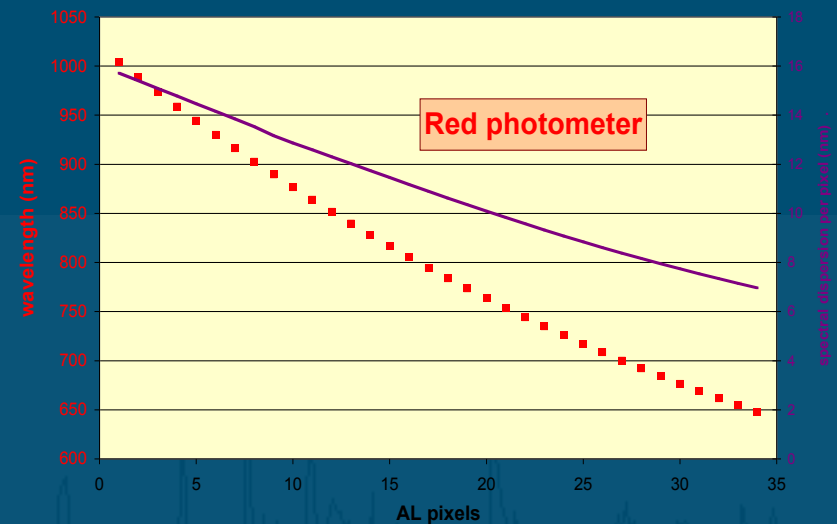
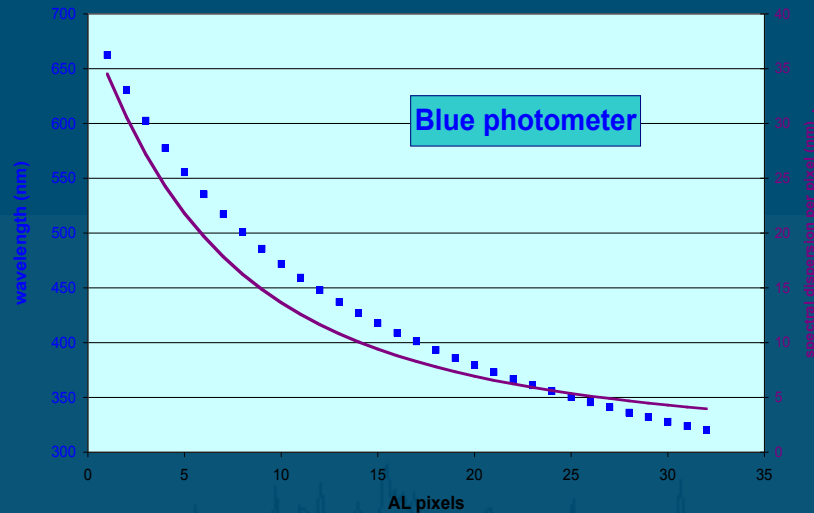
# Gaia

Blue photometer:  
330 – 680 nm

Red photometer:  
640 – 1000 nm



# Photometry Measurement Concept



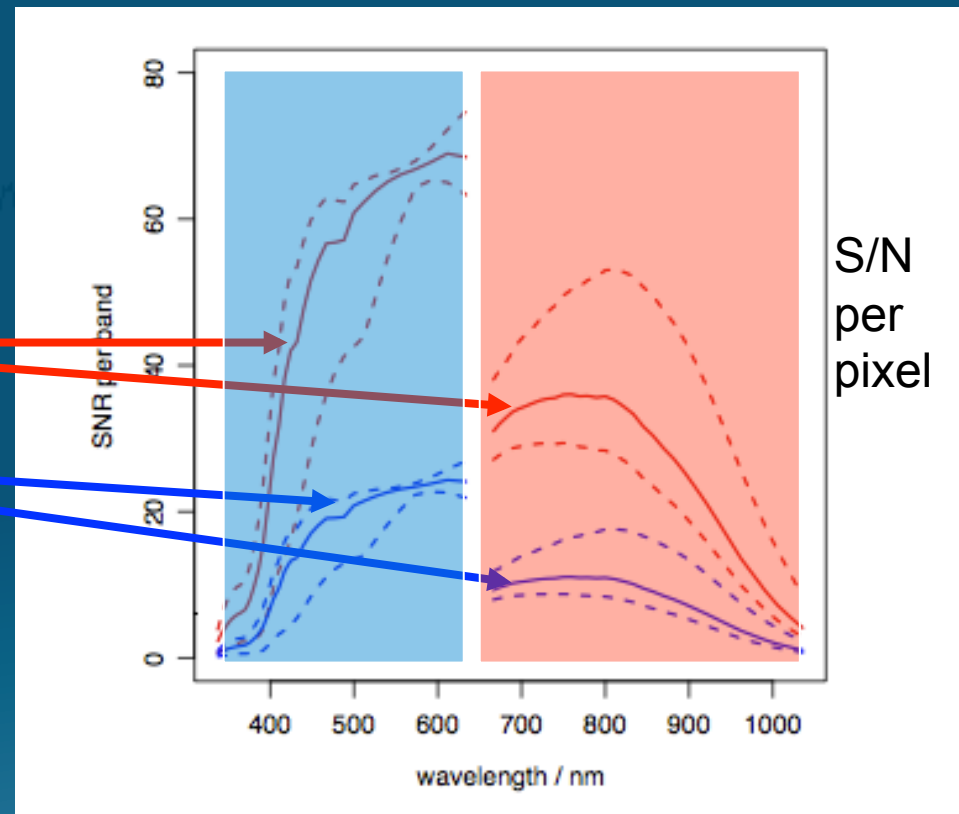
RP spectrum of M dwarf ( $V=17.3$ )  
Red box: data sent to ground  
White contour: sky-background level  
Colour coding: signal intensity

# Ideal tests

- Shot, electronics (readout) noise
- Synthetic spectra
- Logg fixed (parallaxes will constrain luminosity)







G=18.5

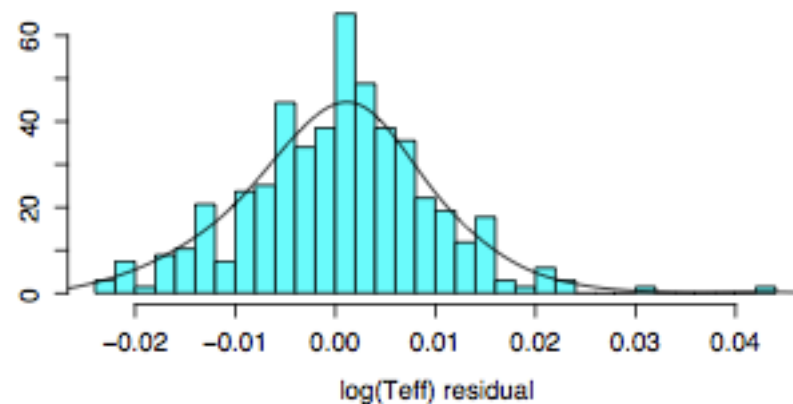
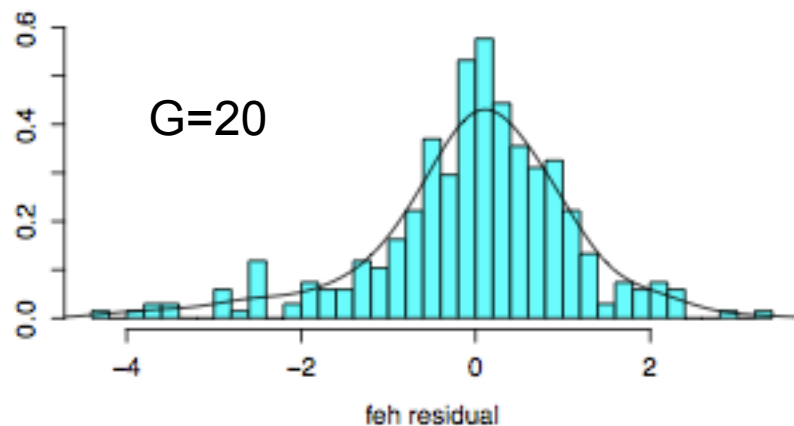
G=20



# (Spectro-)photometry

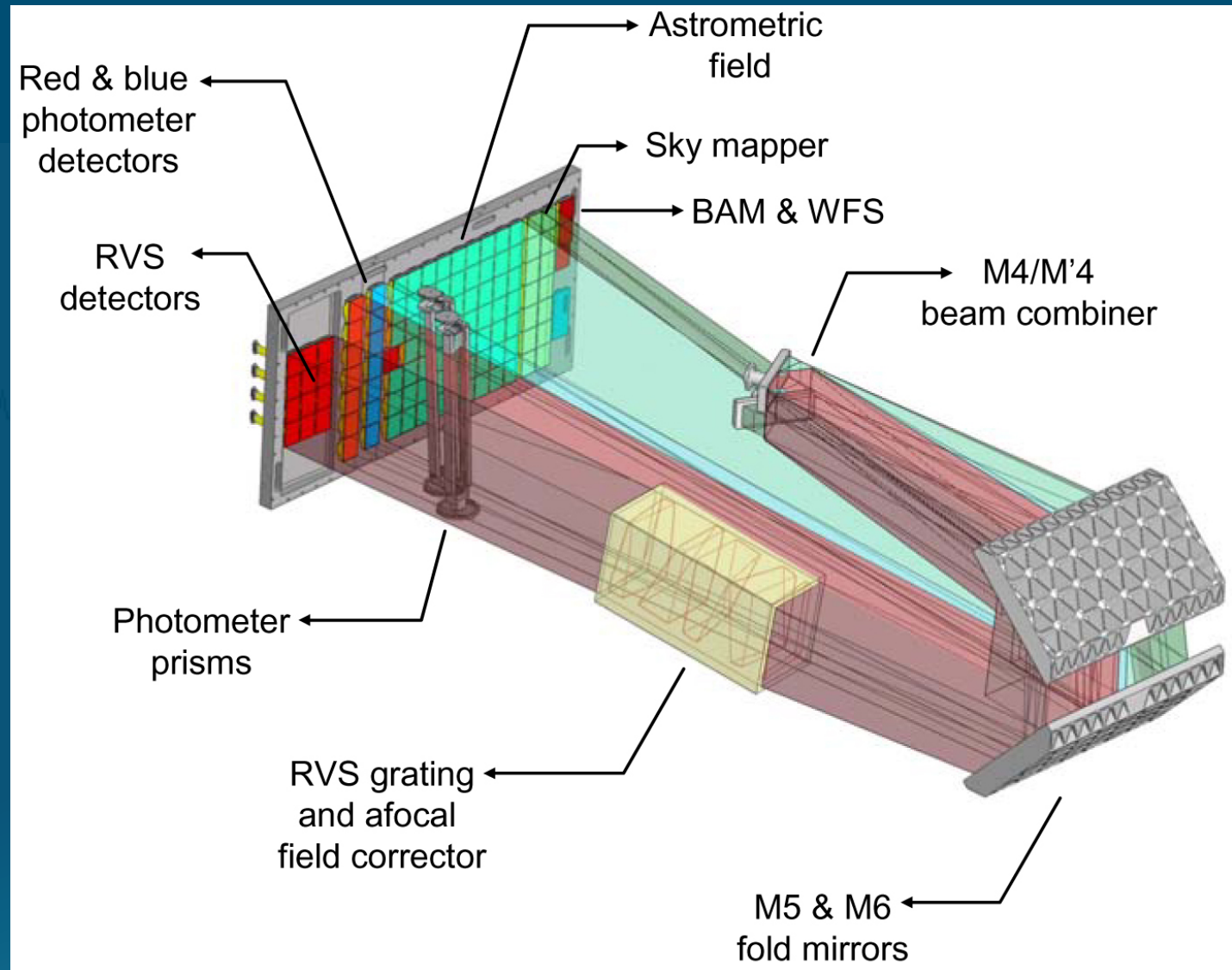
- ILLIUM algorithm (Bailer-Jones 2008). Dwarfs:

G=15	 ([Fe/H])=0.21	 (Teff)/Teff=0.005
G=18.5	 ([Fe/H])=0.42	 (Teff)/Teff=0.008
G=20	 ([Fe/H])=1.14	 (Teff)/Teff=0.021



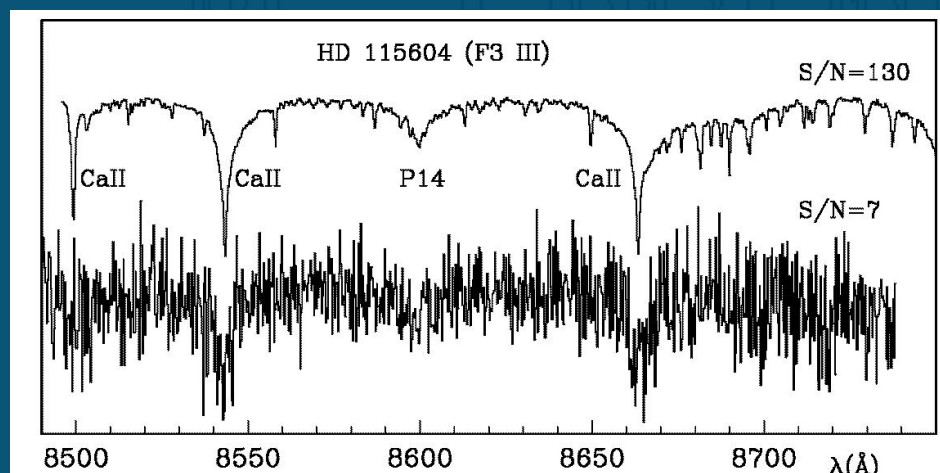
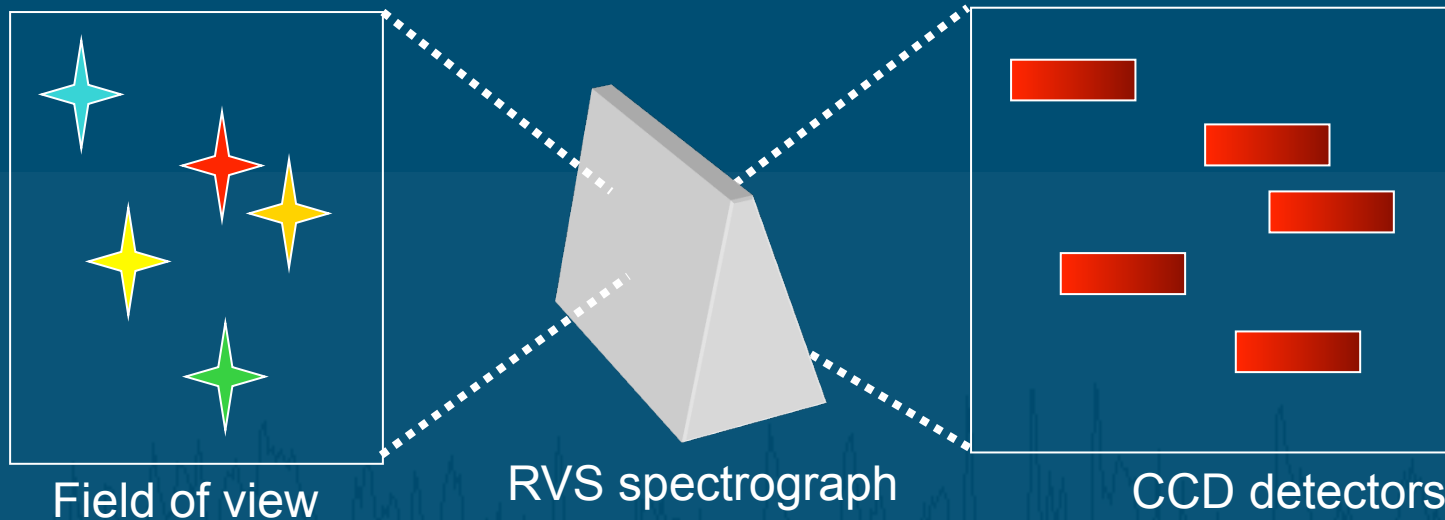


# Radial Velocity Measurement Concept



Spectroscopy:  
847–874 nm  
(resolution  
11,500)

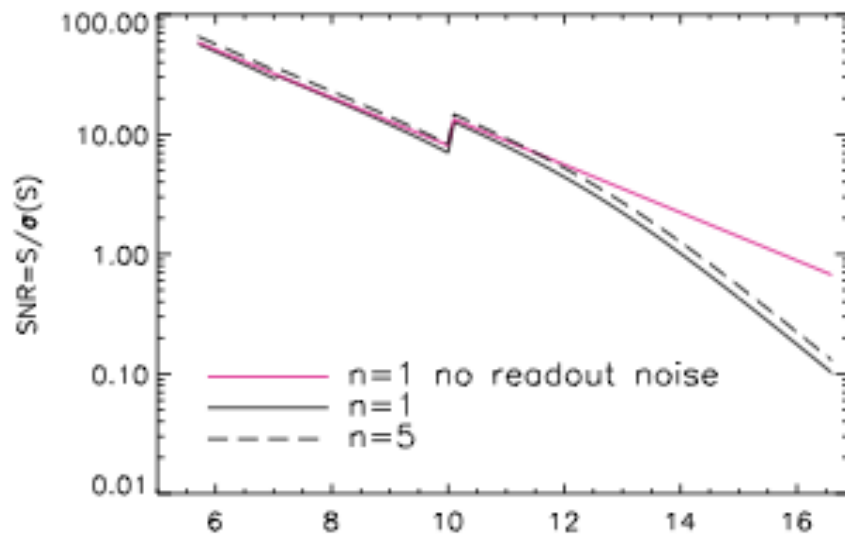
# Radial Velocity Measurement Concept



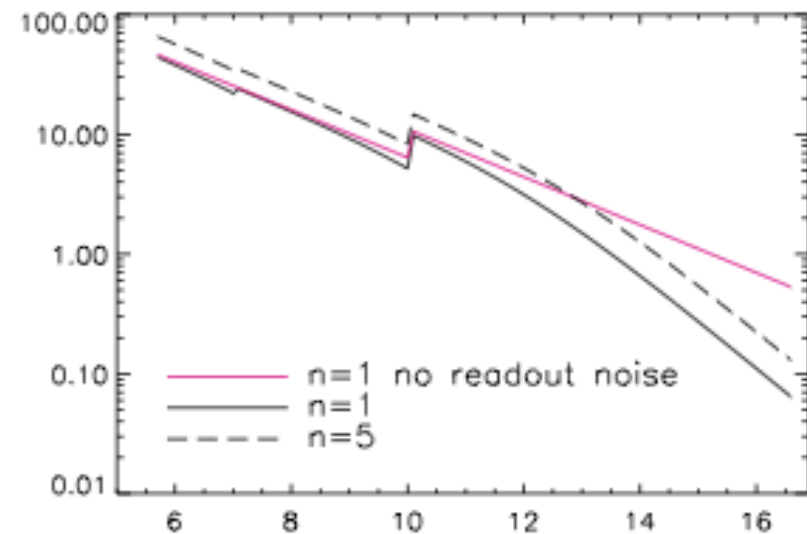
RVS spectra of F3 giant ( $V=16$ )  
S/N = 7 (single measurement)  
S/N = 77 (40x3 transits)

# RVS S/N ( per transit and ccd)

- 3 window types:  $G < 7$ ,  $7 < G < 10$  ( $R=11,500$ ),  $G > 10$  ( $R \sim 4500$ )
- $\sqrt{\frac{S}{S + \text{rdn}^2}}$
- Most of the time RVS is working with  $S/N < 1$



FWHM=2.0 pixels



FWHM=3.7 pixels

G magnitude

# RVS produce

- Radial velocities down to  $V \sim 17$  ( $10^8$  stars)
- Atmospheric parameters (including overall *metallicity*) down to  $V \sim 13-14$  (several  $10^6$  stars)  
(MATISSE algorithm, Recio-Blanco, Bijaoui & de Laverny 06)
- Chemical abundances for several elements down to  $V \sim 12-13$  (few  $10^6$  stars)
- Extinction (DIB at 862.0 nm) down to  $V \sim 13$  (e.g. Munari et al. 2008)
- $\sim 40$  transits will identify a large number of new spectroscopic binaries with periods  $< 15$  yr (CU4, CU6, CU8)

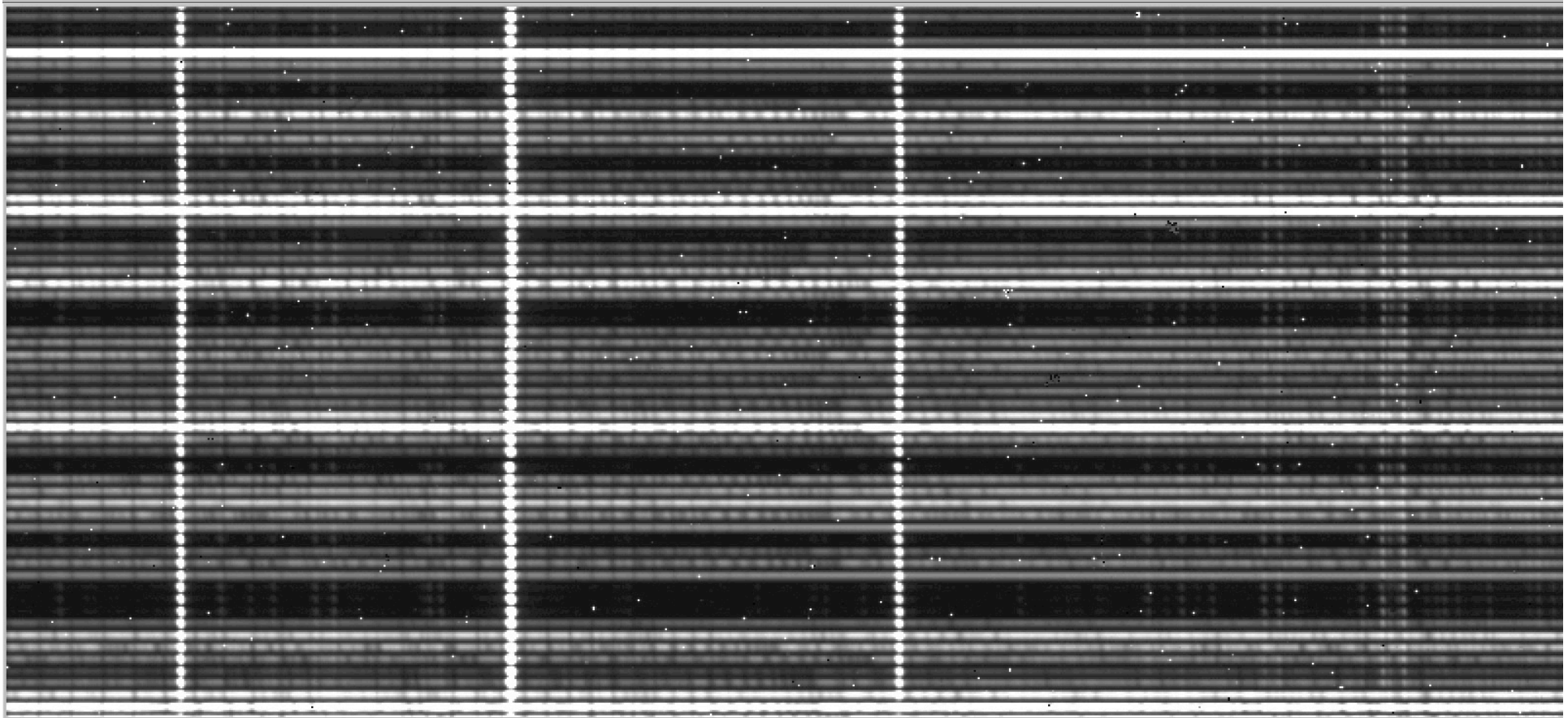


# *APOGEE Spectra*

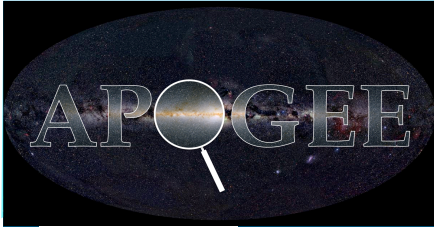


- May 11-22: First full APOGEE bright run.

*Below: First APOGEE+2.5-m observations of Galactic bulge.*



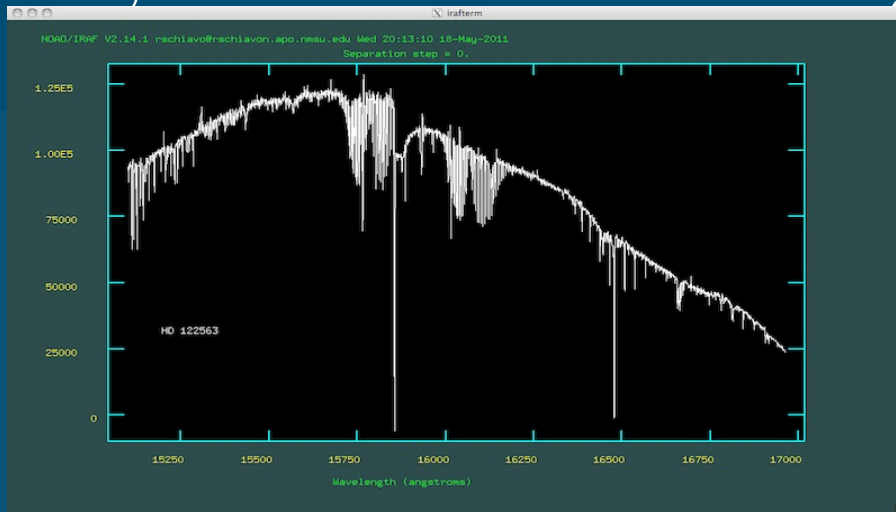




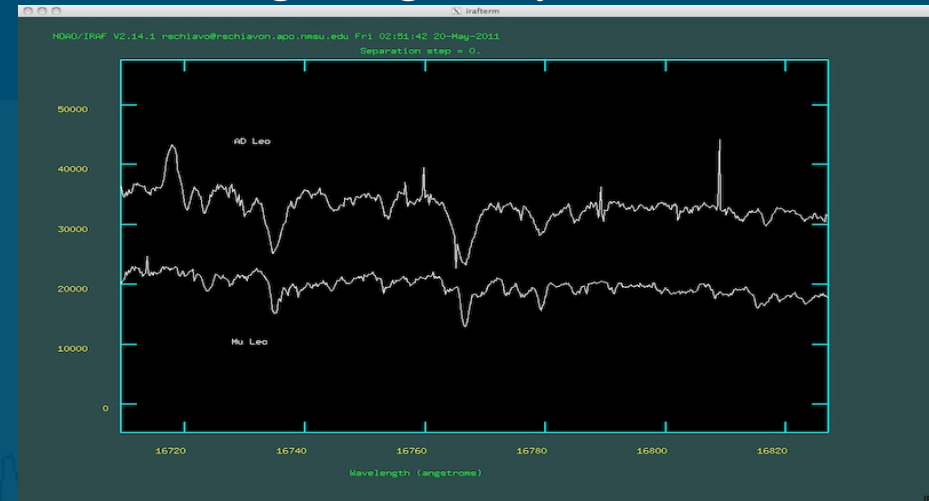
# APOGEE data



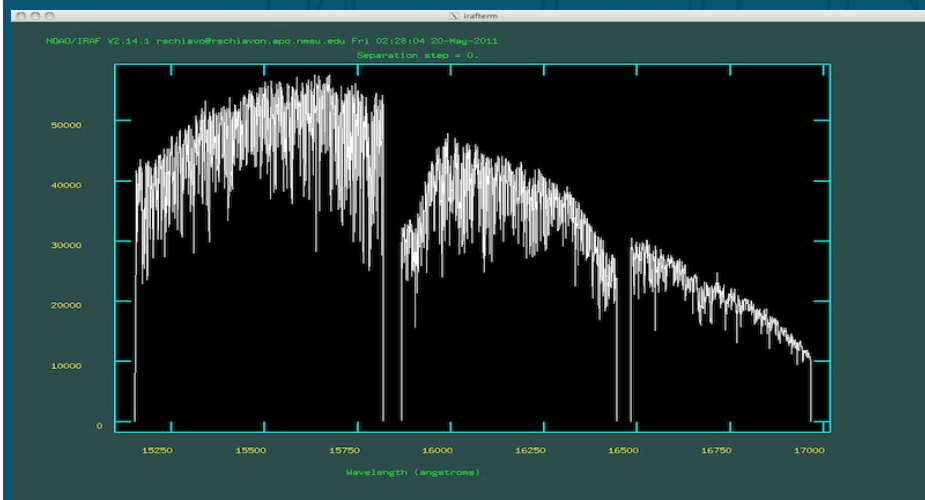
- May 11-22: First full APOGEE bright run -- rogues gallery.



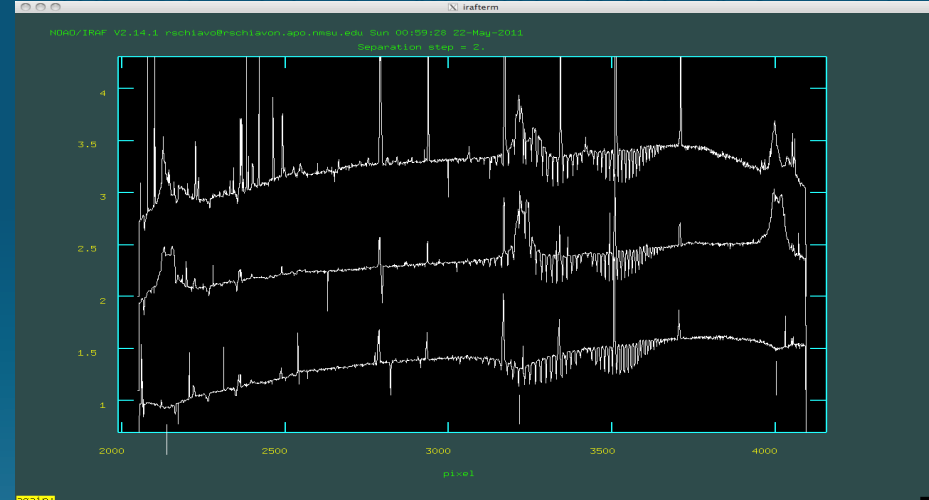
High S/N (>100) exposure of the very metal poor star HD 122563.



Zoomed in comparison of M dwarf (AD Leo) and M giant (Nu Leo).



Nu Leo, a metal-rich giant star.



Some newly discovered stars.



# Data Analysis

- FERRE optimization with interpolation on a pre-computed grid
- N-dimensional f<sub>90</sub> code
- Various algorithms: Nelder-Mead (Nelder & Mead 1965), uobyqa (Powell 2002), Boender-Rinnooy Kan-Strougie-Timmer algorithm (1982)
- Linear, quadratic, cubic spline interpolation
- Spectral library on memory or disk
- PCA compression
- Handling of complex PSF w/o compression
- Flexible: SDSS/SEGUE, WD surveys, APOGEE, STELLA, Gaia-ESO...



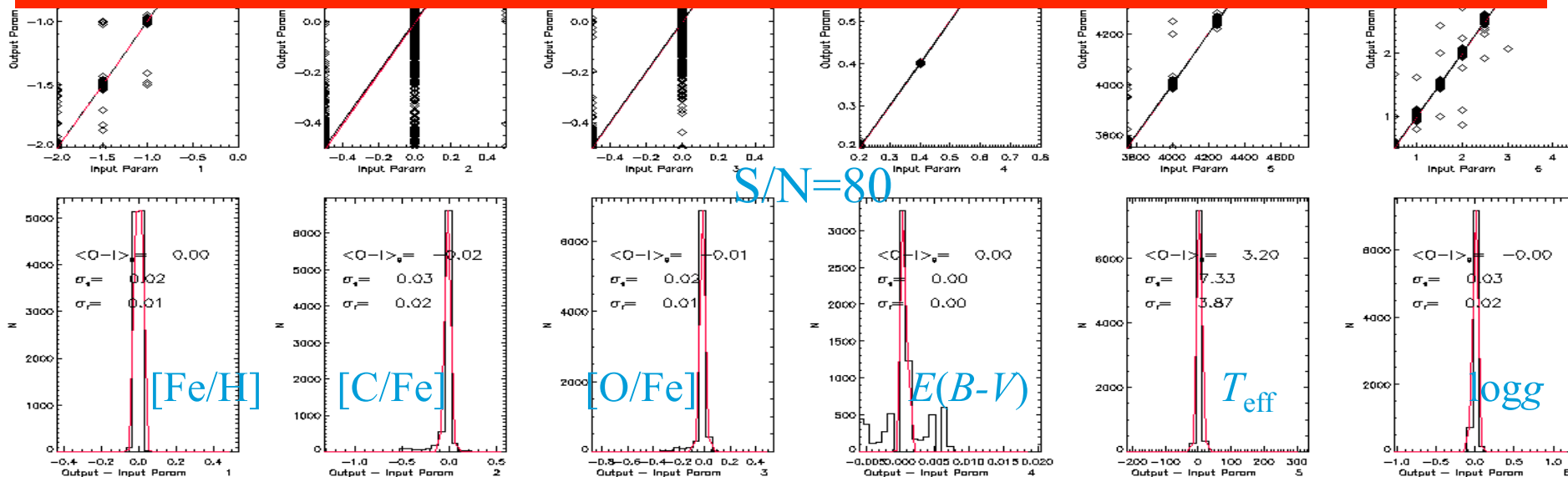
# Abundances & Stellar Parameters



## fundamental parameters:

- 3 ( $T_{\text{eff}}$ ,  $\log g$ ,  $[\text{Fe}/\text{H}]$ )
- 4 ( $T_{\text{eff}}$ ,  $\log g$ ,  $[\text{Fe}/\text{H}]$ ,  $[\text{C}/\text{Fe}]$ )
- 5 ( $T_{\text{eff}}$ ,  $\log g$ ,  $[\text{Fe}/\text{H}]$ ,  $[\text{C}/\text{Fe}]$ ,  $[\text{O}/\text{Fe}]$ )
- 5 ( $T_{\text{eff}}$ ,  $\log g$ ,  $[\text{Fe}/\text{H}]$ ,  $[\text{C}/\text{Fe}]$ ,  $[\text{O}/\text{Fe}]$ )
- 6 ( $T_{\text{eff}}$ ,  $\log g$ ,  $[\text{Fe}/\text{H}]$ ,  $[\text{C}/\text{Fe}]$ ,  $[\text{O}/\text{Fe}]$ ,  $E(B-V)$ )
- 6 ( $T_{\text{eff}}$ ,  $\log g$ ,  $[\text{Fe}/\text{H}]$ ,  $[\text{C}/\text{Fe}]$ ,  $[\text{O}/\text{Fe}]$ ,  $[\text{N}/\text{Fe}]$ )
- ...
- For many/most targets (disk cool giants):
  - $T_{\text{eff}}$ ,  $\log g$ ,  $\text{Fe}/\text{H}$ ,  $\text{C}/\text{Fe}$ ,  $\text{N}/\text{Fe}$ ,  $\text{O}/\text{Fe}$ , maybe  $[\alpha]$ .
- Simplify for metal-poor stars ( $[\text{Fe}/\text{H}] < -1$  or  $-2$ ):
  - $T_{\text{eff}}$ ,  $\log g$ ,  $\text{Fe}/\text{H}$ ,  $\text{O}/\text{Fe}$ , maybe  $[\alpha]$ .
- Simplify for warmer types (G-F):
  - $T_{\text{eff}}$ ,  $\log g$ ,  $\text{Fe}/\text{H}$ ,  $\text{C}/\text{H}$ , maybe  $[\alpha]$ .

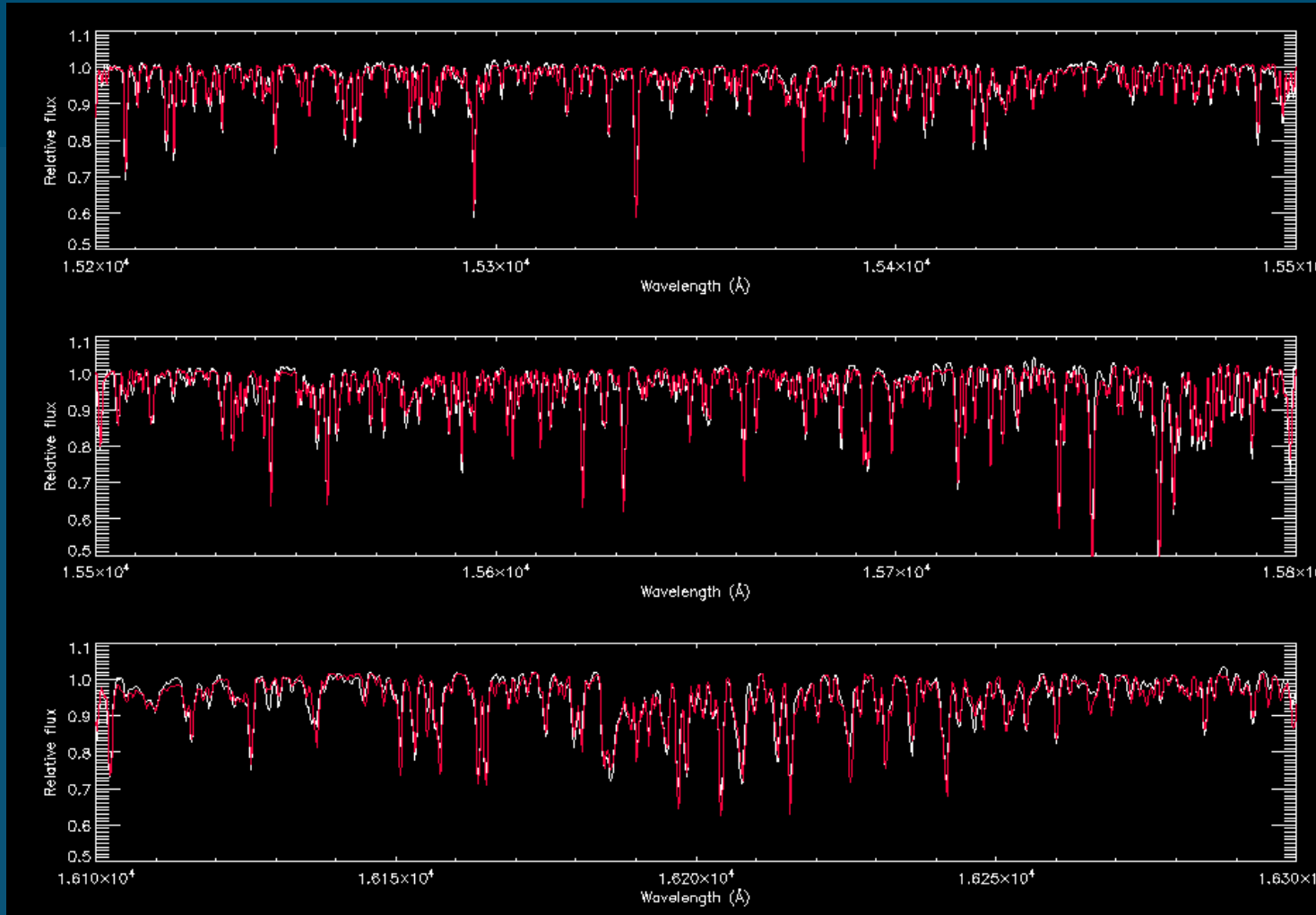
**A minute/star/processor (3.5 days on 20 processors for 100,000 stars)**







# Abundances & Stellar Parameters

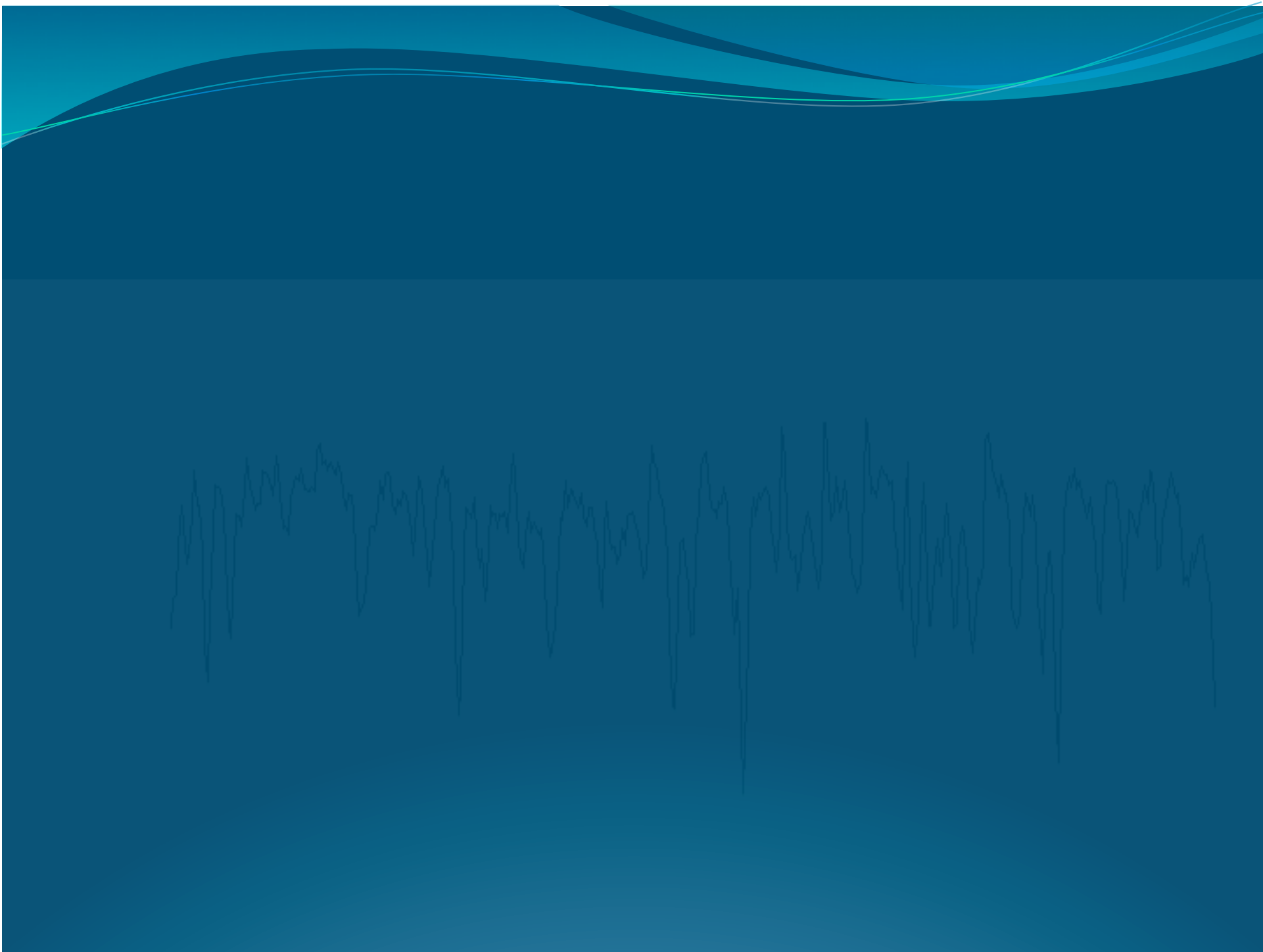


$T_{\text{eff}}=4408 \text{ K}$   
 $\log g=2.13$   
 $\text{Log}_{10}\left(\frac{W}{W_{\odot}}\right)=0.33$   
 $[\text{Fe}/\text{H}]=-0.56$   
 $[\text{C}/\text{Fe}]=+0.44$   
 $[\text{N}/\text{Fe}]=+0.02$   
 $[\text{O}/\text{Fe}]=+0.50$

*ASPCAP Fitting the Arcturus spectrum (Hinkle et al.)  
smoothed to  $R=30,000$*

# Closing remarks

- Significant progress has taken place in the last few years as to extracting atmospheric parameters from spectra and photometry
- We still lack a general recipe that gives the best results in all cases-- consistency checks are necessary
- 3D models and NLTE are beginning to be used, although only marginally
- Improvements in fundamental data also slow, but happening
- Automation is improving dramatically, in response to the needs of the ongoing/coming massive surveys



# RV performance

Table 1: RVS Average Signal per HR sample

V (mag)/SpT	7 /B1V	13/G2V	13.5/K1III	12/B1V	16.5/G2V	17/K1III
$G_{\text{RVS}}$ (mag)	7.3	12.2	12.3	12.3	15.7	15.8
mode	HR	LR	LR	LR	LR	LR
$I$ ( $e^-$ )	1033.	34.0	31.0	31.0	1.35	1.23
$\sigma$ ( $e^-$ )	32.6	7.9	7.7	7.7	5.4	5.4

V(mag)	11	13.5	17
G2V	1.6	1.7	14.5
K1III-MP	1.4	1.6	15.7

Spec. for late-type stars

1 km/s at  $V < 13$

15 km/s down to  $V = 17$

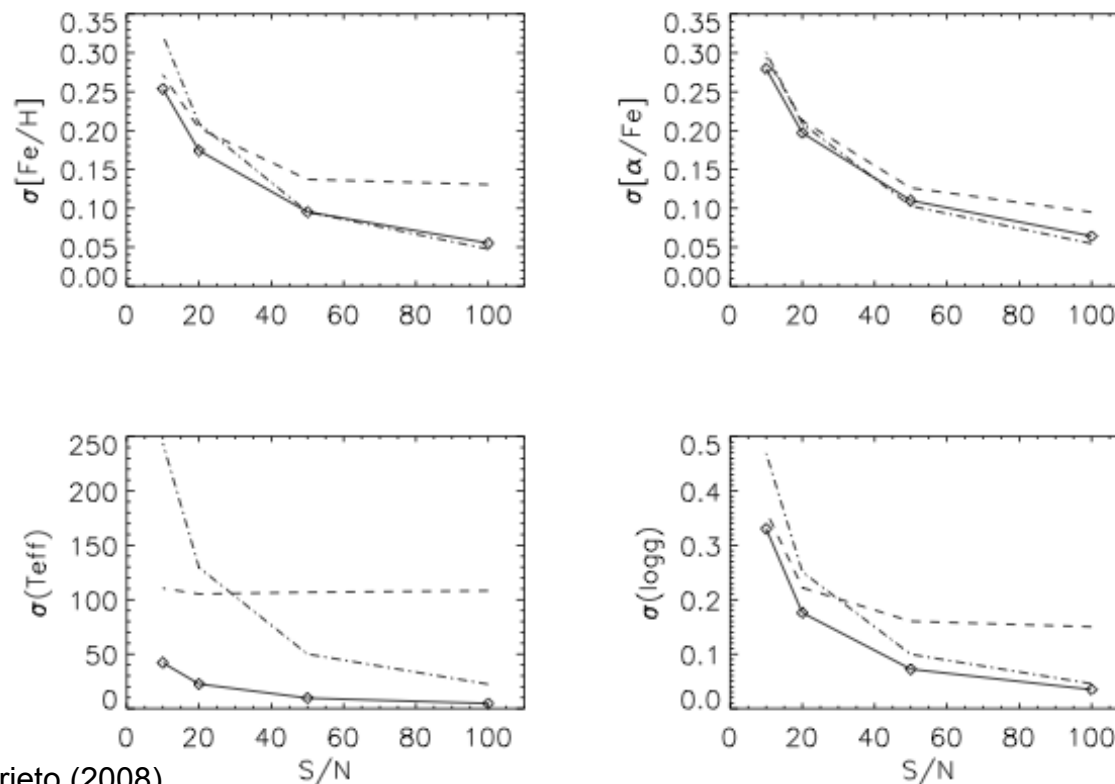
performance estimates as of 2009

# Atmospheric parameters (Ideal tests)

Solid: absolute flux

Dashed: absolute flux, systematic errors ( $S/N=1/20$ )

Dash-dotted: relative flux



Allende Prieto (2008)





# APOGEE Instrument



- End of April: On-site installation.

